



*# AF 12/11*

**MAIL STOP AF  
EXAMINING GROUP 2613**

**PATENT  
129250-002053/US**

**IN THE U.S. PATENT AND TRADEMARK OFFICE**

Applicant: Carl A. Caroli et. al. Conf.: 9196  
Appl. No.: 09/896,248 Group: 2613  
Filed: June 29, 2001 Examiner: Shi K. Li  
For: WAVELENGTH-SELECTIVE ADD/DROP ARRANGEMENT FOR  
OPTICAL COMMUNICATION SYSTEMS

Docket No.: 129250-002053/US

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**NOTICE OF APPEAL FROM THE  
PRIMARY EXAMINER TO THE BOARD OF APPEALS**

Customer Service Window  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314  
**Mail Stop AF**

June 20, 2006

Sir:

Applicants hereby appeal to the Board of Appeals from the decision dated March 20, 2006 of the Supervisory Patent Examiner finally rejecting claims 1-22.

- ☐ The enclosed document is being transmitted via the Certificate of Mailing provisions of 37 C.F.R. § 1.8.
- ☐ The enclosed document is concurrently filed with a Pre-Appeal Brief Request for Review.

Applicants hereby petition for an extension of       (     ) month(s)       pursuant to 37 C.F.R. §§ 1.17 and 1.136(a).

The fee has been calculated as shown below:

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- ☐ NO extensions of time have been previously obtained for responding to the Final Rejection. Thus a fee of \$.00 is required for the full period of the above-requested extension of time.
- ☐ An extension of \_\_\_\_\_ ( ) month(s) for responding to the Final Rejection was previously requested and paid for on \_\_\_\_\_. Thus a fee of \$ \_\_\_\_\_ is required.
- ☐ Applicant claims small entity status. See 37 C.F.R. § 1.27.

The Government fee for filing a Notice of Appeal to the Board of Appeals is calculated as follows:

- ☒ Large entity - \$500.00
- ☐ Small Entity - \$250.00

Therefore, the TOTAL FEE due for the filing of this Notice of Appeal is \$500.

Payment of the above TOTAL FEE is being made in the following manner:

- ☒ Check in the amount of \$500.00 is enclosed.
- ☐ Please charge Deposit Account No. 50-3777 in the amount of \$0.00. A triplicate copy of this sheet is attached.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 50-3777 for any additional fees required under 37 C.F.R. §§1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

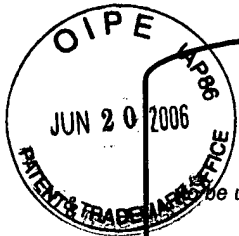
CAPITOL PATENT & TRADEMARK LAW FIRM, PLLC.

By

  
\_\_\_\_\_  
John E. Curtin, Reg. No. 37,602

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Vienna, Virginia 22183  
(703) 266-3330

Please type a plus sign (+) inside this box → +



# TRANSMITTAL FORM

To be used for all correspondence after initial filing)

Application Number	09/896,248
Filing Date	June 29, 2001
Inventor(s)	Carl A. Caroli et al
Group Art Unit	2633
Examiner Name	Shi K. Li
Attorney Docket Number	129250-002053/US

## ENCLOSURES (check all that apply)

- |  |   |   |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><br><input checked="" type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment<br><input type="checkbox"/> After Final<br><br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><br><input type="checkbox"/> Letter to the Official Draftsperson and _____ Sheets of Formal Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><br><input type="checkbox"/> Change of Correspondence Address and Revocation/POA<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><br><input type="checkbox"/> LETTER SUBMITTING APPEAL BRIEF AND APPEAL BRIEF (w/clean version of pending claims)<br><br><input checked="" type="checkbox"/> Appeal Communication to Group (Notice of Appeal, Brief, Reply Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input type="checkbox"/> Other Enclosure(s) (please identify below): |
|--|---|---|

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	CAPITOL PATENT & TRADEMARK LAW FIRM PLLC	Attorney Name	John E. Curtin	Reg. No.	37,602
Signature					
Date	June 20, 2006				

*Effective 10/01/2004. Patent fees are subject to annual revision.*

<b>TOTAL AMOUNT OF PAYMENT</b>	<b>(\$)</b>	<b>500.00</b>
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Application Number	09/896,248
Filing Date	June 29, 2001
First Named Inventor	Carl A. Caroli
Examiner Name	Shi K. Li
Art Unit	2613
Attorney Docket No.	129250-002053/US

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